## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPRO                | VAL |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Jakeman David |         |       | 2. Issuer Name and Ticker or Trading Symbol <u>CHIMERIX INC</u> [ CMRX ] |                   | tionship of Reporting Person(s) to Issuer<br>all applicable)<br>Director 10% Owner |                       |  |
|---|---------|-------|--|-------------------|--|-----------------------|--|
| ·   |         |       | -  | X                 | Officer (give title below)   | Other (specify below) |  |
| (Last)<br>C/O CHIMER  |         |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/21/2020           |                   | Principal Account  | ,                     |  |
| 2505 MERIDIAN PARKWAY, SUITE 100                                      |         |       |  |                   |  |                       |  |
| (Street)  |         |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 | 6. Indiv<br>Line) | ng (Check Applicable   |                       |  |
| DURHAM  | NC      | 27713 |  | X                 | Form filed by One Rep  | oorting Person        |  |
|   | INC.    | 27713 | _  |                   | Form filed by More that<br>Person  | an One Reporting      |  |
| (City)  | (State) | (Zip) |  |                   |  |                       |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   |        | 4. Securities A<br>Disposed Of (<br>5) |       |                                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|---|--------|--|-------|------------------------------------|---|---|---|
|                                 | Code                                       |   | v | Amount | (A) or<br>(D)                          | Price | Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)  |   |
| Common Stock                    | 01/21/2020                                 |   | A |        | 14,160 <sup>(1)</sup>                  | Α     | \$0.00                             | 90,166  | D   |   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Expir               |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|------------------------|-------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | of (D) (II<br>3, 4 and | nstr. | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | (Instr. 4)   |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$2.08  | 01/21/2020                                 |   | A                            |   | 28,320                 |       | (2)  | 01/20/2030         | Common<br>Stock   | 28,320                                 | \$0.00  | 28,320   | D  |  |

#### Explanation of Responses:

1. The shares being reported are being issued pursuant to restricted stock unit awards ("RSUs"), each one of which represents a contingent right to receive one share of the Issuer's common stock. 3,540 shares subject to the RSUs will vest on the two-year anniversary of the date of grant, 3,540 shares subject to the RSUs will vest on the two-year anniversary of the date of grant, 3,540 shares subject to the RSUs will vest on the three-year anniversary of the date of grant, 3,540 shares subject to the RSUs will vest on the four-year anniversary of the date of grant, 3,540 shares subject to the RSUs will vest on the four-year anniversary of the date of grant, 3,540 shares subject to the RSUs will vest on the four-year anniversary of the date of grant.

2. 1/48th of the shares subject to the option vest in equal monthly installments over a four year period following the date of grant.

#### **Remarks:**

/s/ Michael Alrutz, Attorney-in-01/22/2020

Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.